

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the date of deposit: 7/18/2006 Name: Richard G. Lione, Reg. No. 19,795 Signature: Richard G. Lione

*IFC*

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Appln. of: Hozumi Tanaka et al.

Appln. No.: 10/577,211

Filed: April 25, 2006

For: REDUCED COENZYME Q-CONTAINING COMPOSITION

Attorney Docket No: 5404/146

**BRINKS  
HOFFER  
GILSON  
& LIONE**

Examiner: Not Assigned

Art Unit: Not Assigned

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL**

Sir:

**Attached is/are:**

- ☒ Transmittal (in Duplicate); Information Disclosure Statement; Form PTO-1449; and a Copy of Each Listed Reference for Which a Copy is Required.
- ☒ Return Receipt Postcard

**Fee calculation:**

- ☒ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_\_).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			x 100=			x \$200=	
First Presentation of Multiple Dep. Claim					+\$180=			+\$360=	
					Total	\$		Total	\$

**Fee payment:**

- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

July 18, 2006  
Date

Respectfully submitted,

Richard G. Lione  
Richard G. Lione (Reg. No. 19,795)



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INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicant hereby cites the following reference(s):

U.S. PATENT DOCUMENTS

DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME
US 2002/0045596 A1	04/18/2002	Nielsen et al.
US 2003/0012774 A1	01/16/2003	Moldenhauer et al.
US 2005/0070481 A1	03/31/2005	Fujii et al.

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY
JP 57-21319 A	02/04/1982	Japan
JP 63-83021 A	04/13/1988	Japan
EP 0 519 428 A2	12/23/1992	EPO
JP 5-178765 A	07/20/1993	Japan
JP 5-186340 A	07/27/1993	Japan
JP 7-69874 A	03/14/1995	Japan
JP 2000-510841 A	08/22/2000	Japan
JP 2002-104922 A	04/10/2002	Japan
JP 2003-26625 A	01/29/2003	Japan
JP 2003-119126 A	04/23/2003	Japan
JP 2003-520827 A	07/08/2003	Japan

**OTHER ART – NON PATENT LITERATURE DOCUMENTS**

(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.

International Search Report from Corresponding International Application No. PCT/JP2004/016079, dated December 14, 2004, 1 page.

Applicant is enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). As each of the listed references is in English, no further commentary is believed to be necessary, 37 C.F.R §1.98(a)(3). Applicant respectfully requests the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicant has calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

July 18, 2006  
Date

Richard G. Lione  
Richard G. Lione (Reg. No. 19,795)



FORM PTO-1449	SERIAL NO. 10/577,211	CASE NO. 5404/146
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE April 25, 2006	GROUP ART UNIT Not Assigned
(use several sheets if necessary)	APPLICANT(S): Hozumi Tanaka et al.	

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	US 2002/0045596 A1	04/18/2002	Nielsen et al.		
	A2	US 2003/0012774 A1	01/16/2003	Moldenhauer et al.		
	A3	US 2005/0070481 A1	03/31/2005	Fujii et al.		

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	A4	JP 57-21319 A	02/04/1982	Japan		Abstract
	A5	JP 63-83021 A	04/13/1988	Japan		Abstract
	A6	EP 0 519 428 A2	12/23/1992	EPO		
	A7	JP 5-178765 A	07/20/1993	Japan		Abstract
	A8	JP 5-186340 A	07/27/1993	Japan		Abstract
	A9	JP 7-69874 A	03/14/1995	Japan		Abstract
	A10	JP 2000-510841 A	08/22/2000	Japan		Abstract
	A11	JP 2002-104922 A	04/10/2002	Japan		Abstract
	A12	JP 2003-26625 A	01/29/2003	Japan		Abstract
	A13	JP 2003-119126 A	04/23/2003	Japan		Abstract
	A14	JP 2003-520827 A	07/08/2003	Japan		Abstract

EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS <small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)</small>	
	A15	International Search Report from Corresponding International Application No. PCT/JP2004/016079, dated December 14, 2004, 1 page.

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.